

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Basibes et al.**

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Serial No.: **Not Assigned**§ Group Art Unit: **Not Assigned**

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Filed: _____

§ Examiner: **Not Assigned**

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**For: Method and Apparatus for
Providing Process-Based Access
Controls on Computer Resources**§ Attorney Docket No.: **AUS920030616US1**

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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

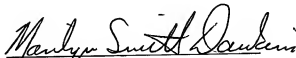
Please charge any fees necessary for prosecution of the present application to Deposit Account No. 09-0447. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Deposit Account No. 09-0447.

In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) within three months of the filing date of the application, or before the mailing date of a first office action on the merits. No fee is required.

Date: Sept. 22, 2003

Respectfully submitted,



Marilyn Smith Dawkins
Registration No. 31,140
IBM Corporation
Intellectual Property Law
11400 Burnet Road
Austin, Texas 78757
(512) 823-0094

Form PTO-1449 LIST OF PRIOR ART CITED BY APPLICANT <i>(Use several sheets if necessary)</i>		ATTORNEY DOCKET NO. AUS920030616US1		SERIAL NO. Not Assigned	
APPLICANT Basibes et al.					
FILING DATE				GROUP ART UNIT Not Assigned	

U.S. PATENT DOCUMENTS					
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/SUBCLASS	FILING DATE
	AA 6,308,181 B1	Oct. 23, 2001	Jarvis	707/102	Dec. 19, 1998
	AB 6,044,373	Mar. 28, 2000	Gladney et al.	707/10	Sep. 29, 1997
	AC 6,453,353 B1	Sep. 17, 2002	Win et al.	709/229	Feb. 12, 1999
	AD 6,438,549 B1	Aug. 20, 2002	Aldred et al.	707/9	Dec. 3, 1998
	AE 6,412,070 B1	Jun. 25, 2002	Van Dyke et al.	713/200	Sep. 21, 1998
	AE 5,321,841	Jun. 14, 1994	East et al.	395/725	Jan. 29, 1993
	AG 2002/0077803	Jun. 20, 2002	Kudoh et al.	704/1	Sep. 7, 2001
	AH 2001/0056494	Dec. 27, 2001	Trabelsi	709/229	Mar. 9, 2001
	AI 2003/0105796	Jun. 5, 2003	Sandri et al.	709/104	Dec. 5, 2001
	AJ 4,135,240	Jan. 16, 1979	Ritchie	364/200	Jul. 9, 1973

FOREIGN PATENT DOCUMENTS					
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY	CLASS/SUBCLASS	TRANSLATION YES NO

OTHER PRIOR ART (including author, title, date, pertinent page, etc.)	
	AK IBM Technical Disclosure Bulletin, "Extensible Access Control List Mechanism", Vol. 34, No. 7B, December 1991, pp. 114-117.
	AL IBM Technical Disclosure Bulletin, "Enhanceable Authorization Mechanism", Vol. 30, No. 1, June 1987, pp. 179-181.
	AM Ott et al., "The 'Rule Set Based Access Control' (RSBAC) Framework for Linux", pp. 1-18.
	AN Blakley et al, http://www.ietf.org/proceedings/98...raft-ietf-ldapext-acl-model-00.txt , "Access Control Model for LDAP", 6/6/03, pp. 1-31.

RELATED PATENT APPLICATIONS				
EXAMINER INITIAL	APPLICATION NO./ATTY. DOCKET NO.	APPLICANT	TITLE	FILING DATE

DATE CONSIDERED	EXAMINER
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.